

Regulatory Enforcement and High-Risk Regulations

Provider Performance Review Subcommittee

June 27, 2024



- Inspections Completed
- Violations Found
- License Revocations
- High-Risk Regulations



Inspection Type	Agencies	Homes
Renewal	479	3,274
Unannounced Monitoring	302	814
Total	781	4,088

Chapter 6400 Violations - 2023

Inspection Type	Total Violations	Average Violations per Agency Inspection
Renewal	7,170	15
Unannounced Monitoring	3,091	10
Total	10,261	13

Inspections Resulting in Revocation



pennsylvania
DEPARTMENT OF HUMAN SERVICES

Resulted in License Revocation	Inspections	Percent
No	742	95%
Yes	39	5%
Total	781	100%

All of the Chapter 6400 regulations are necessary to protect the health, safety, and rights of individuals with an intellectual disability or autism.

However, some regulations pose a greater risk of physical or psychological harm to individuals if they are violated.

**1,800 High-Risk violations
were Identified in 2023**

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**18% of all violations were
High-Risk**



Resulted in License Revocation	Average Violations	Average HRR
No	12	2
Yes	28	5

High-Risk Regulations Identified



Analysis Category	Total HRR	Percent
Medications Not Stored or Administered Correctly	328	18.1%
Health Services Not Provided	286	15.8%
Poisons Not Stored Safely	244	13.5%
Egress from Room or Home was Blocked	197	10.9%
Fire-Safety Equipment Not Operational	182	10.1%
Water Too Hot or Heat Source Unprotected	177	9.8%
An Individual was Abused, Neglected, or Mistreated	133	7.4%
Unsafe Food Storage	86	4.8%
Staff Were Not Properly Trained	57	3.2%
Staff Did Not Have Criminal History Checks	41	2.3%
Individuals' Needs Not Met or Assessed	29	1.6%
Health and Safety Not Protected	26	1.4%
Unsafe Storage of Flammable Materials	19	1.1%
Swimming Pools Accessible when Not in Use	1	0.1%
Staff Person with Communicable Disease in Home	1	0.1%
Firearms Present in Home	1	0.1%

High-Risk Regulations Identified

