

The Office of Mental Health and Substance Abuse Services

Proposed PRTF Regulation



Purpose of Webinar

Agenda

Proposed PRTF regulations – Notable Sections:

- Payment Chapter
- Licensing
- Reportable/Recordable Incidents
- Visits
- Staffing
- Training
- Treatment
- Transportation
- Medication
- Restrictive Procedure
- Secure PRTF
- Quality Assurance
- Fire Safety

Payment

- Must comply with regulations within 12 months from promulgation.
- Current payment structure will continue until current license expires or 12 months; whichever is sooner.
- Must submit a projected cost report within 3 months from promulgation.
- Cap of 48 visits per calendar year was removed.

Licensing

If planning to become a PRTF:

- Must be accredited within 12 months from promulgation.
- Must obtain a new license as a PRTF under Chapter 5330 within 12 months from promulgation.
- Updated service description.

Reportable Incidents

- Time to complete an incident report reduced to 12 hours.
- Additional reportable incidents not required in Chapter 3800.
- Modifications from Chapter 3800.



Recordable Incidents

- Time to notify parents, legal guardian or caregivers reduced to 12 hours.
- Additional recordable incidents not required in Chapter 3800.

Visits

- Requirements when the child, youth or young adult is not under the supervision of PRTF.
- Development of a visit plan that identifies coping skills and local crisis numbers and contact for PRTF.
- Must contact the parent, legal guardian or caregiver at least once every 24 hours.

Staffing

- Required staff positions:
 - Medical Director
 - Treatment Team Leader
 - Clinical Director
 - Program Director
 - Mental Health Professional
 - Registered Nurse
 - Mental Health Worker
- Optional staff positions:
 - Advanced Practice Professionals
 - Licensed Practical Nurse
 - Mental Health Worker Supervisor

Staffing

- Supervision of Staff: Details specifics regarding the supervision of staff.
- Staff working in a PRTF shall be at least 21 years of age.
- Staff ratio:
 - 1 to 6 during awake hours.
 - 1 to 12 during sleeping hours.
- Mental health professional on PRTF grounds during awake hours.
- The mental health professional caseload ratio is 1 to 8.
- On grounds PRTF supervisor ratio reduced from 16 to 12.

Training

- Initial Training hours
 - 30 hours for all staff.
- Annual Training hours
 - 30 hours for all staff.
 - Additional 10 hours of annual training for staff that work directly with children, youth or young adults.

Training

- Additional training topic requirements:
 - Verbal de-escalation, crisis prevention, and suicide prevention.
 - Professional ethics and conduct, professional boundaries and confidentiality.
 - Trauma-Informed care principles and practical applications.
 - Mental health diagnoses, conditions, needs and effective treatment interventions.
 - Principles of child development.
 - Recognizing and responding to emergency situations.

Fire Safety

- Doors with delayed egress are permitted if equipped with a mechanism that unlocks after no more than a 15-second delay.

Treatment Plan

- Complies with the Federal standards of physician directed care.
- Requirements must be met prior to the development of a treatment plan:
 - Multi-disciplinary assessment within 48 hours of admission to a PRTF.
 - Initial treatment plan completed within 14 days of admission
 - A psychiatric evaluation within 7 days that includes an examination of the medical, psychological, social, behavioral and developmental aspects of the child, youth or young adult and reflects the need for a PRTF level of care.
- The treatment plan needs to be revised every 30 days.

Treatment Plan Components

- Be designed to result in the child's, youth's or young adult's discharge at the earliest possible time from the PRTF.
- Identify objectives and interventions aimed at treating and eliminating behavior that may lead to the use of a manual restraint.
- Reflect the need for a PRTF level of care.
- State individualized and measurable treatment objectives.
- Monitor the progress of each individualized treatment objective.
- Identify the child's, youth's or young adult's strengths.
- Include the child's, youth's or young adult's plan for discharge.
- Document the child's, youth or young adult's achievements since the last treatment plan.
- Prescribe an integrated program of therapies and experiences designed to meet the child's, youth's or young adult's treatment needs.
- Address the impact of the child's, youth's or young adult's medical and trauma history.

Minimum Treatment Requirements

- Individual therapy with the Treatment Team Leader for at least one hour each month.
- Individual therapy with the Mental Health Professional for at least two hours each week.
- Group therapy for at least three hours each week.
- Family therapy for at least one hour each week.
- Psychoeducational group therapy for at least three hours each week.

Discharge

- Begins on day of admission.
- 30 days advanced notice of discharge to treatment team, community providers, education host district and home district.

Transportation

- The driver may not be counted towards the supervision ratio requirements.
- There needs to be at least one staff person present for every three children, youth or young adults being transported.
- Manual restraint may not be utilized during transport.

Restrictive Procedures

- Only manual restraints are permitted.
- Includes a performance improvement process as part of the policy and procedure.
- Provides language on who can order and accept an order for a manual restraint.
- 30-minute time limit for each manual restraint order.
- Staff qualified to conduct post-restraint assessments need to be trained in restrictive procedures.

Medication

- PRN medication to control acute episodic behavior is prohibited.
- Medication Error:
 - Added utilizing the incorrect method to administer the medication.
- Medication Refusal:
 - Added language regarding medication refusal.

Secure PRTF

- Child, youth or young adults must meet medical necessity criteria for admission.
- Facility cannot exclusively serve delinquent youth.
- Staff ratio requirements:
 - 1 to 4 during awake hours.
 - 1 to 8 during sleeping hours.
- Only manual restraint is permitted.
- Specific physical site requirements:
 - No razor ribbon or barbed wire on fences.
 - No bars attached to windows.

Quality Assurance

- Establishes a written quality assurance plan.
- Requires that an annual report of services is completed.
- Includes data from post-discharge surveys provided to youth and family.
- Must be available to the public.