

# **PBC Provider Forum**

October 4, 2024

### Agenda - 90 minutes

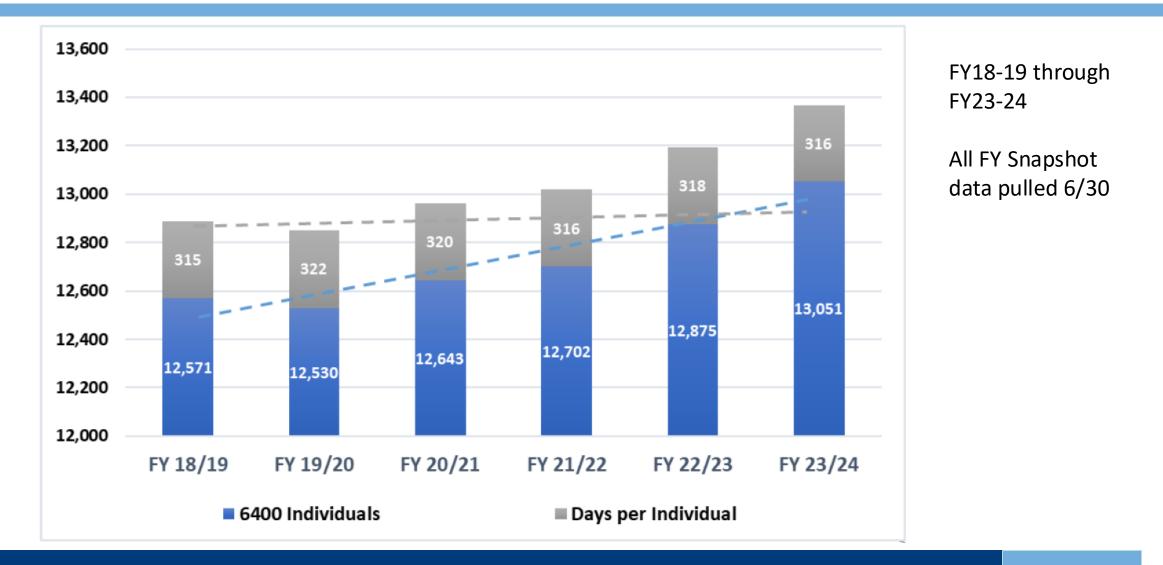


- Status update
- Data Review
- Reminders and Common Submission Errors
- Top 10 Unmet Measures among 2024 Submissions
- Technical Assistance Areas
  - EEO vs DEI
  - Person-centered data in QM
  - HRS reports/queries
  - Crisis Procedures
  - Incident Management
- Next Steps
- Questions
- Resources



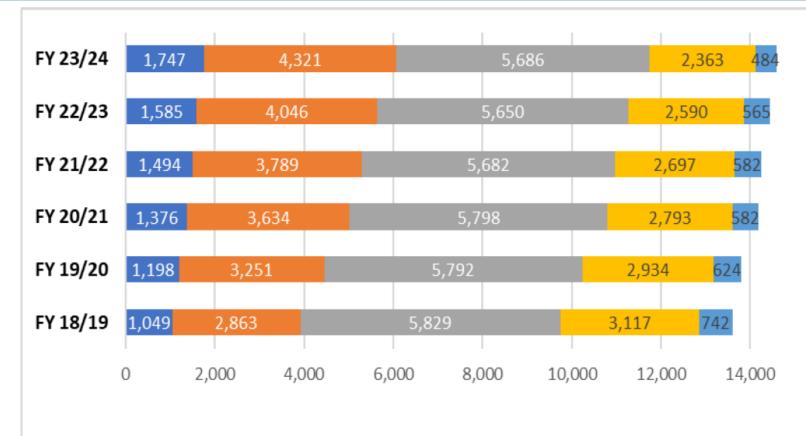
- All August 2024 PBC tier submissions have been scored the results will soon be emailed to the Providers.
- Communication will include a list of measures that the Provider did not meet and instructions for the data submission resolution process available if a provider believes ODP made an error in evaluating provider performance.
- Submissions
  - 15 Primary
  - 6 Select
  - 15 Clinically Enhanced
- Results will be published to the MyODP site Nov-Dec 2024
  - For those Providers that submitted during August tier assignments will be in effect from 1/1/2025 to 6/30/2026





### Individuals Receiving Residential Habilitation by Home Size





#### FY18-19 through FY23-24

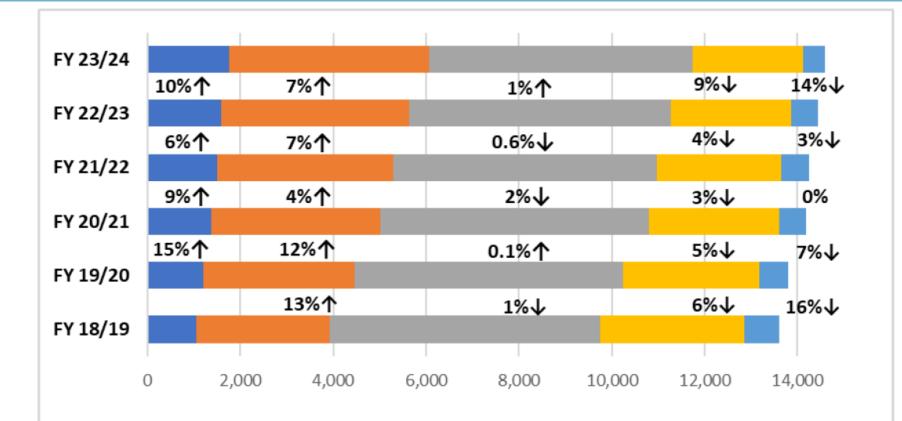
All FY Snapshot data pulled 6/30

6400 1-person home Individuals
 6400 3-person home Individuals
 6400 5-8 person home Individuals

6400 2-person home Individuals
 6400 4-person home Individuals

#### Individuals Receiving Residential Habilitation by Home Size





FY18-19 through FY23-24 Year-to-Year Trend

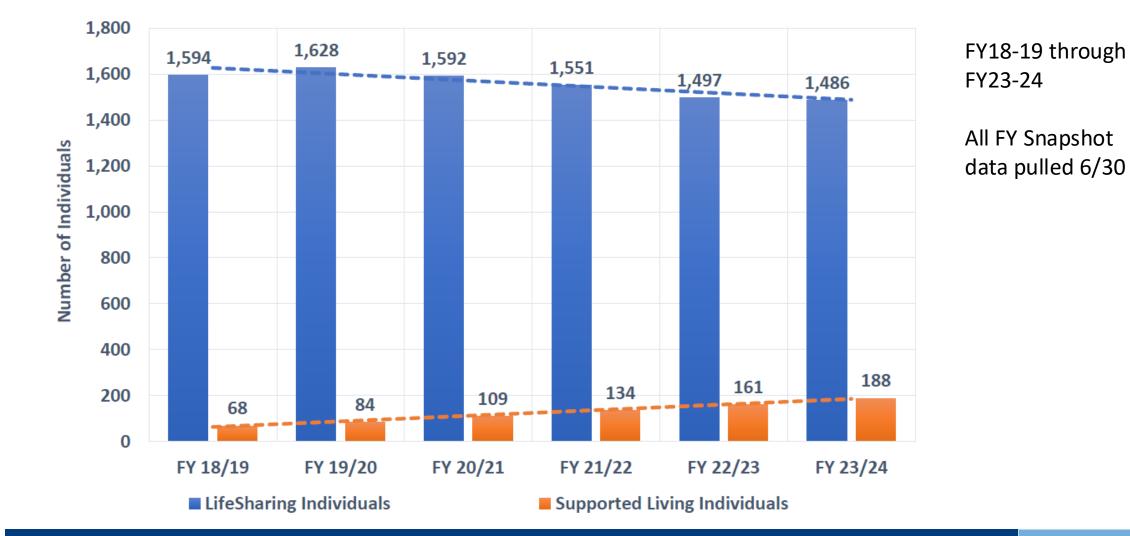
All FY Snapshot data pulled 6/30

6400 1-person home Individuals
 6400 3-person home Individuals
 6400 5-8 person home Individuals

6400 2-person home Individuals
 6400 4-person home Individuals

### Individuals Receiving Lifesharing and Supported Living

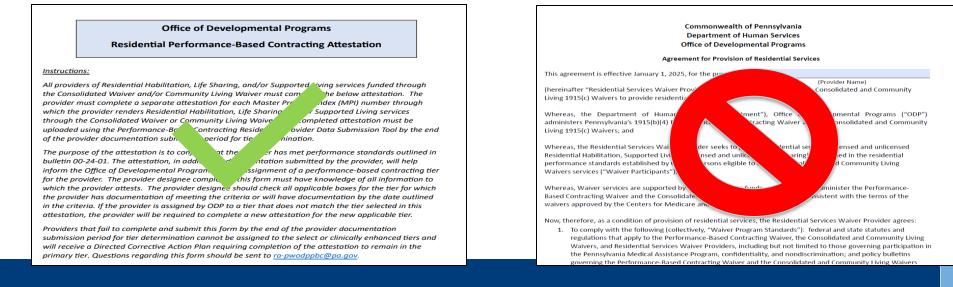




### **Reminders and Common Submission Errors**



- All residential providers must submit for tier determination, including Primary and Conditional
- All elements of the Attestation for your tier must be selected/checked
  - ODP will contact Providers that do not complete the Attestation
- Providers uploaded Provider Agreement instead of Provider Attestation
  - Attachment 3 Residential Performance Based Contracting Attestation.pdf





Measure	Measure Description	Number Unmet	Number of Providers Scored	Percent Unmet
RM-IM.01.3	Timely finalization of incidents is demonstrated by at least 90% of incidents finalized within 30 days of discovery	19	21	90.5%
RM-IM.01.4	Timely finalization of incidents is demonstrated by at least 95% of all incidents must be finalized by the due date, and the due date may only exceed 30 days in no more than 5% of those incidents (due dates may exceed 30 days when the provider has notified the Department in writing that an extension is necessary and the reason for the extension)	19	21	90.5%
CN-C.01.2	Population served by the agency in residential services is in the top quartile of acuity of both Needs Level and Health Care Level of the statewide population in residential	12	15	80.0%
RM-HRS.01.1	Current health risk screenings (HRS) in place for all individuals including applicable assessments as indicated by HRST protocol	23	36	63.9%
CN-M.01.2	For Children with Medically Complex Conditions demonstrated use of targeted resources including pediatric complex care resource centers (PCCRC), Health Care Quality Unit (HCQU), home care services, support systems for families, use of family facilitator, and/or Special Needs Unit	3	5	60.0%
RM-IM.01.2	Provider demonstrates reporting fidelity: Maximum number of incidents not reported timely may not exceed 10% of overall reported incidents by provider	12	21	57.1%
CN-DD/Bx.03.2	Documentation of specialized trauma-informed training/activities for individuals and staff	9	21	42.9%
WF.04.3	Submit documentation that agency has a committee of staff focused on DEI	9	21	42.9%
CN-DD/Bx.02.2-F	Demonstrate use of data to impact individual outcomes - polypharmacy	8	19	42.1%
CN-C.02.2-B	Follow-up after hospitalization for mental illness at 7-day minimum of 40% and 30-day a minimum of 75%	6	15	40.0%



Equal Employment Opportunity (EEO)

• Federal laws that make it illegal to discriminate against a job applicant or an employee because of the person's race, color, religion, sex (including pregnancy, childbirth, or related conditions, gender identity, and sexual orientation), national origin, age (40 or older), disability or genetic information.

Diversity, Equity, and Inclusion (DEI)

- Diversity: The characteristics and experiences, both seen and unseen, that make everyone unique.
- Equity: Ensuring fair access to opportunities and resources, while taking into consideration individual's barriers or privileges and eliminating systemic barriers and privileges.
- Inclusion: The actions taken to understand, embrace, and leverage the unique identities and perspectives of all individuals so that all feel welcomed, valued, and supported.
  - CMS, 2024

Helpful resources:

- <u>https://home.myodp.org/resources/diversity-equity-inclusion/</u>
- <u>EEOC Publications | U.S. Equal Employment Opportunity Commission</u>
- <u>https://palms-awss3-repository.s3.us-west-2.amazonaws.com/MyODP\_Content/Everyday+Lives/Embedding-DEI-in-IDD-Systems-of-Support-FINAL-032423-13.pdf</u>

### **Technical Assistance Areas – Quality Improvement**



QI.02.3: Person-centered data usage to develop QM Plan (and monitor progress)

- "<u>Person-centered</u>":
  - Focused on the person, what they can do, what their needs are, and what they want
  - <u>Directly</u> improves the lives of individuals being served
    - e.g., health and safety, communication, employment, etc.
  - In ODP QM, term is used with goals, outcomes, performance measures, data, and info
    - QM Certification Handbook
- "<u>Person-centered performance data</u>":
  - <u>Is</u> data used to <u>directly</u> improve <u>outcomes</u> for individuals
  - <u>Is not</u> data used to improve a compliance task
  - <u>Examples</u> of related data:
    - ISAC recommendations performance measures
    - NCI/IM4Q
    - Health and safety (e.g., incident management, Health Risk Screening (HRS)
- "<u>Person-centered</u>" outcome examples include:
  - Increase # of individuals with competitive integrated employment (CIE)
  - Increase # of individuals who are using the Life Course to develop plans
  - Decrease # of medication errors

Successful QM Planning must be data driven! QM Spotlight - Using Data to Make Decisions

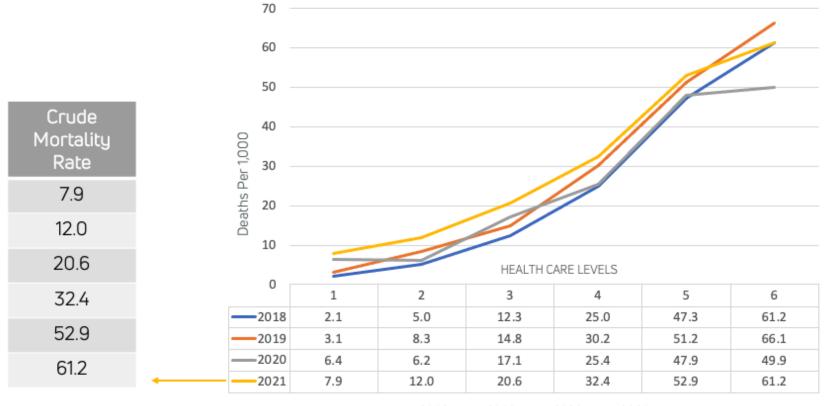




- A web-based instrument developed to screen for health risks associated with vulnerable individuals
- The HRST is a simple 22 item scale designed to find out which individuals are at most risk of illness and health destabilization
- The tool then responds by producing and Health Care Level and Considerations
  - Service Considerations describe which evaluations and professional interventions may be needed to support the individual based on their identified issues.
  - Training Considerations prompt training about the basic issues involved in each of the identified areas of risks. Those who serve the person most directly are in a position to identify the emergence of health related risk or destabilization
- Without a mechanism for screening, major signs of risk may be unrecognized
- Polypharmacy risks
- Fatal Five
- Impact of clinical issues

# **HCL and Mortality Rates**







- Demonstrated capacity to properly and timely assess individuals.
- P/S/CE: Current health risk screenings (HRS) in place for all individuals including applicable assessments as indicated by HRST protocol
- S/CE: P and Demonstrate use of HRS data and recommendations to improve individual health/outcomes as of July 1, 2024, or for providers submitting in February–March 2025, as of January 1, 2025.



These reports will be run using the Persons Served report will only consider for inclusion those rows that the second s	d List, AS CURRENTLY FILTERED. Filter the list first to the data you wish to see, then run the report. at appear in your filtered list.
search for	Report Format
Assignments	
Audit	
Lange Over Time	
Compliance	
Database Stats	
Demographics	
Diagnoses	
Distribution	
Health Tracker	
Medications	
Person Centered Description	
Special Conditions	

# **RM-HRS.01.1 and 01.2**



- The pathway is Persons Served > Standard Reports > Compliance > Record Activity.
- The Record Activity report with return:
  - First Name
  - Last Name
  - MCI # Provider Name, SCO, Health Care Level
  - Last HRST Update Date
  - Last Medication Update Date
  - Last DX Update Date
- A blank value in any of the date fields indicates that individual's record has never been updated.

### **Up To Date Records**



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### Persons Served List Reports

These reports will be run using the Persons Served List, AS CURRENTLY FILTERED. Filter the list first to the data you wish to see, then run the report. The report will only consider for inclusion those rows that appear in your filtered list.

search for

$\triangleright$	Assignments	R
$\triangleright$	III Audit	pe
$\triangleright$	🏭 Change Over Time	ag
4	Compliance	
	Ilinical Reviews	
	Count of Persons with NO Diags, Med or Ratings	
	Persons with Rating Item(s) Not Updated in Past 365 Days.	
	Individuals with Plan Date within the Next 90 Days	
	Vaccination History	
	Last Update	
	C Record Activity	
	Record Activity with Provider	
	Persons with NO Diagnoses or Medications	
	Dupdate Count by Rater	
	Persons with no Health Care Level	
$\triangleright$	🛺 Database Stats	
$\triangleright$	Demographics	
$\triangleright$	🛺 Diagnoses	
$\triangleright$	Distribution	
$\triangleright$	🕼 Health Tracker	
$\triangleright$	III Medications	
$\triangleright$	Person Centered Description	
$\triangleright$	Special Conditions	

#### Record Activity with Provider

Returns the last update date for HRST, Medication, and Diagnoses entry per persons served record, along with Direct Care provider, Admin Entity and SC agency.





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### **Persons Served List Reports**

These reports will be run using the Persons Served List, AS CURRENTLY FILTERED. Filter the list first to the data you wish to see, then run the report. The report will only consider for inclusion those rows that appear in your filtered list.

search for...

- Assignments
- 🕨 📗 Audit
- 👂 🌆 Change Over Time
- Image: Image:
- Demographics
- Database Stats
  - Total Up-to-date Rated Records
  - Total Completed Clinical Reviews
  - Total HRSTs Not Updated in 365 Days
  - Total Records Needing Clinical Review
  - Total Clinical Reviews with No Response from Rater
  - D Total Incomplete Clinical Reviews Not Done 14 Days After Last Rating Upd
- Diagnoses
- Distribution
- Health Tracker
- Medications
- Person Centered Description
- Image: Special Conditions

#### Total Incomplete Clinical Reviews Not Done 14 Days After Last Rating Update

This will return a count of clinical reviews not done 14 days after last rating update. Includes all active persons who qualify for a Clinical Review where 14 days have passed since the last rating update, and the Clinical Review is out of compliance. Clinical Reviews should be completed within 14 days of the last Rating update. Entries on this report are out of compliance for one of two reasons: 1. It has been more than 14 days since the last Rating date and there has been no Clinical Review. 2. A Clinical Review was completed, but it was done more than 14 days after the last Rating date.

Report Format

 Web
 PDF
 Excel

Generate Report

# **HCL Distribution**



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### Persons Served List Reports

These reports will be run using the Persons Served List, AS CURRENTLY FILTERED. Filter the list first to the data you wish to see, then run the report. The report will only consider for inclusion those rows that appear in your filtered list.

search for					
⊳	Assignments				
⊳	🛺 Audit				
⊳	🕼 Change Over Time				
⊳	Compliance				
⊳	🕼 Database Stats				
⊳	Demographics				
⊳	🛺 Diagnoses				
4	Distribution				
	All Categories				
	Considerations				
	<ul> <li>Image: Image: Ima</li></ul>				
	Health Care Level (HCL) Distribution (Column Chart)				
	Health Care Level (HCL) Distribution (Pie Chart)				
	Average Health Care Level (HCL) by Region				
	Count of Persons with HCL 3 or Higher by Region				
	Scoring Distribution				
⊳	🕼 Health Tracker				
⊳	Medications				
⊳	Person Centered Description				
Þ	Special Conditions				

#### Health Care Level (HCL) Distribution (Pie Chart)

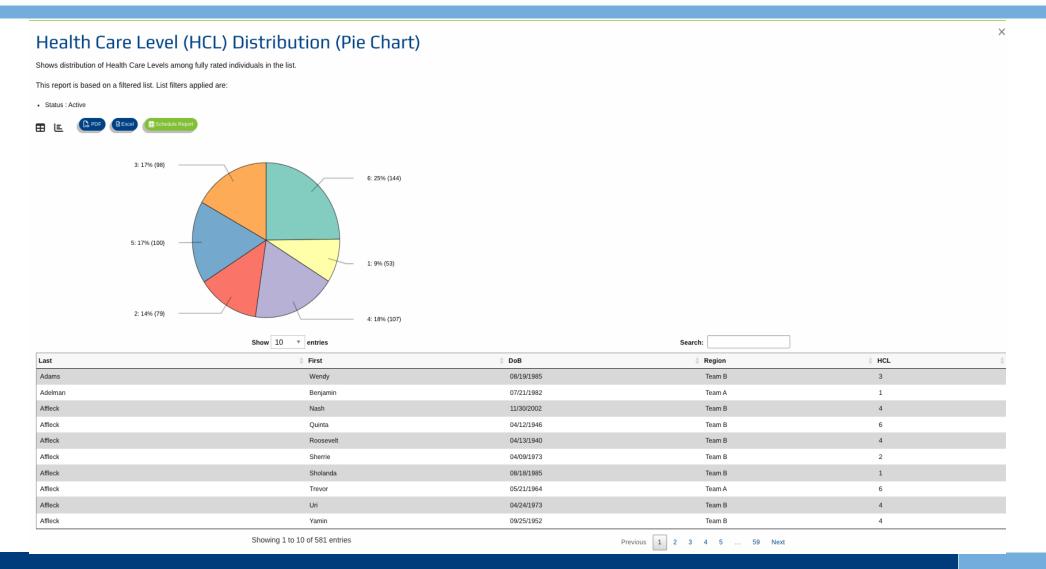
Shows distribution of Health Care Levels among fully rated individuals in the list.

 Web
 PDF
 Excel



## **HCL Distribution**





### **Psychotropic Medication Use**



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#### Persons Served List Reports

These reports will be run using the Persons Served List, AS CURRENTLY FILTERED. Filter the list first to the data you wish to see, then run the report. The report will only consider for inclusion those rows that appear in your filtered list.

earch for	Individuals With N or More
Assignments Audit	Psychotropics
Change Over Time Compliance Database Stats	Shows all individuals with N or more psychotropics medications entered their Medications page and no End Date has been entered. The default number is 3.
Demographics     Diagnoses     Distribution	Report Format
	This report may be customized with inputs Set Inputs Default Value
Health Tracker     Medications	Number of Psychotropics
<ul> <li>All Medications by Individual</li> <li>Current Unique Medications by Individual</li> <li>Individuals on a Specific Medication</li> </ul>	3
<ul> <li>Individuals on Psychotropic Medications</li> <li>Individuals on Clozaril/Clozapine</li> <li>Individuals on TD Medications</li> </ul>	
Individuals With N or More Psychotropics	
<ul> <li>Medications and Related Diagnosis</li> <li>Medications by Purpose</li> <li>Medications for Persons with Behavior Challenges</li> <li>Scoring Distribution - J. Psychotropic Meds</li> <li>User Entered Meds Not Selected From List</li> </ul>	

### **Psychotropic Medication Use**



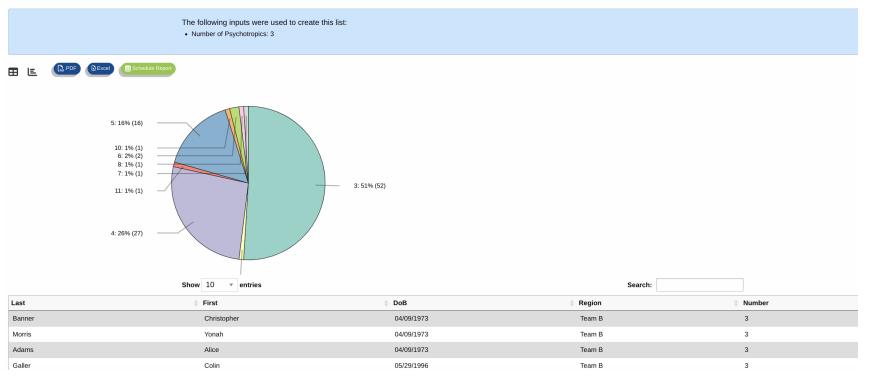
#### Individuals With N or More Psychotropics

Shows all individuals with N or more psychotropics medications entered on their Medications page and no End Date has been entered. The default number is 3.

This report is based on a filtered list. List filters applied are:

Status : Active

•







• S/CE: Provide a plan for and attest to agency tracking and use of data from the Health Risk Screening Tool (HRST); Measure interruption in daily activity because of illness ("clinical issues") to improve health outcomes

# **Scoring Distribution Clinical Issues**



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### **Persons Served List Reports**

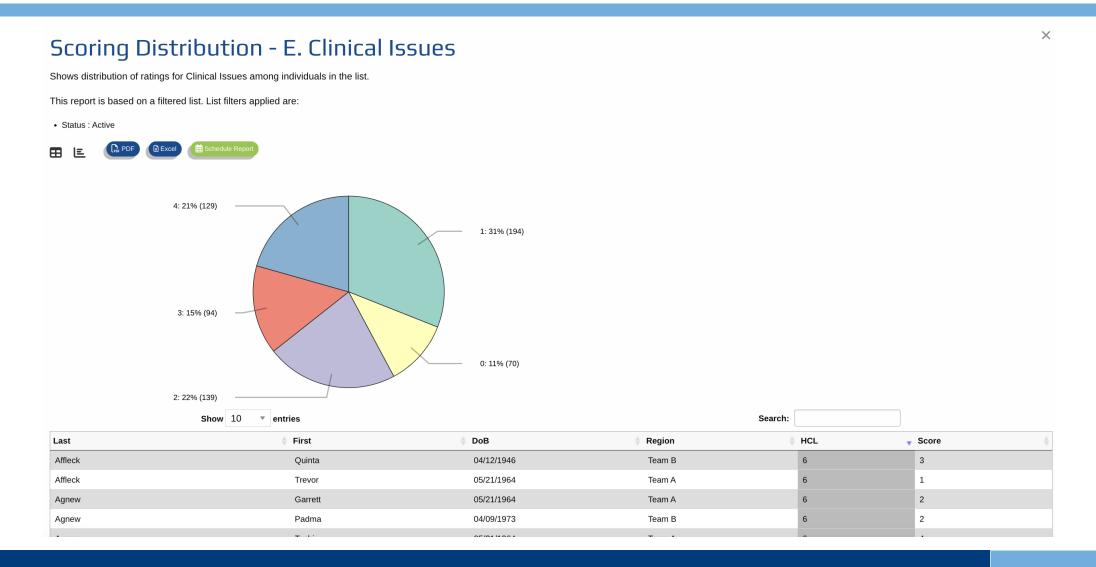
These reports will be run using the Persons Served List, AS CURRENTLY FILTERED. Filter the list first to the data you wish to see, then run the report. The report will only consider for inclusion those rows that appear in your filtered list.

Shows distribution of ratings for Clinical Issues among individuals in the list.
Report Format         Web       PDF         Excel           Scoring Distribution           Scoring Distribution       Coring Distribution       Scoring Distribution

24

### **Scoring Distribution Clinical Issues**





## **Avoidable Hospitalizations**



### Individuals With 4 on Item O, Bowel Function

Shows all individuals with a score of 4 on item O, Bowel Function, indicating history of hospitalization due to bowel obstruction.

This report is based on a filtered list. List filters applied are:

- Status : Active
- HCL over : 1



	Show 10 * entrie	es				Search:	
Last	First	🍦 Age	Region	O - Bowel Function Se	core 🍦 Rater Employer	Last Rater	Last Clinical Reviewer
Affleck	Trevor	60	Team A	4	Provider N	Ramsey, Darla	Lancaster, Brandon
Agnew	Henry	51	Team B	4	IntellectAbility	Moeser, Maryellen	
Agnew	Toshi	60	Team A	4	IntellectAbility	Milan, Elizabeth	Milan, Elizabeth
Agnew	Zazi	60	Team A	4	IntellectAbility	Cruz, Emilio	Cruz, Emilio
Alexander	Johnathon	71	RN 1	4	IntellectAbility	Smith, Michelle	
Alexander	Johnny	72	Team A	4	IntellectAbility	Filippi, Donna	Tallent, Daleigh
Alexander	Jon	75	Team A	4	IntellectAbility	Armstrong, Tammy	Neal, Sherry
Alford	Amy	60	Team A	4	Peach Training, Inc.	Mathews, Erin	
Alford	Rudy	51	Team B	4	Patrick Lane	Lane, Patrick	
Allen	Rudy	51	Team B	4	Patrick Lane	Lane, Patrick	Neal, Sherry

## **Diabetes and Obesity**



value.

#### Persons Served List Reports

These reports will be run using the Persons Served List, AS CURRENTLY FILTERED. Filter the list first to the data you wish to see, then run the report. The report will only consider for inclusion those rows that appear in your filtered list.

	III Assignments
	III Audit
	🛺 Change Over Time
	Compliance
	🔊 Database Stats
	Demographics
ı	Diagnoses
	All Current Diagnoses
	All Current Diagnoses with Other ID
	Diagnosis Counts
	Persons With Diagnosis of Autism
	Persons With Diagnosis of Diabetes Compared to Those Without
	Persons With Diagnosis of Diabetes
	Persons With Diagnosis of Hypertension
	Persons With Diagnosis of Down Syndrome
	Persons With Diagnosis of Down Syndrome and other diseases
	Distribution
	III Health Tracker
	4 Medications
	Person Centered Description
	Special Conditions

#### Persons With Diagnosis of Diabetes

Shows all persons with a diagnosis of diabetes.



#### Persons Served List Reports

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These reports will be run using the Persons Served List, AS CURRENTLY FILTERED. Filter the list first to the data you wish to see, then run the report. The report will only consider for inclusion those rows that appear in your filtered list.

search for	BMI Info
<ul> <li>Assignments</li> <li>Audit</li> </ul>	Shows individual's current height, weight and calculated BMI
Change Over Time  Compliance  Compliance	Web PDF Excel
BMI Info BMI Info Individual Address Info	
<ul> <li>Persons Served My Contacts Info</li> <li>BP Not Updated in 6 Months</li> </ul>	
<ul> <li>Death Info for Deceased Persons</li> <li>Individuals with Birthday Within Next 60 Days</li> <li>Individuals and Pitch day Within Next 60 Days</li> </ul>	
<ul> <li>Individuals with Birthday Within Next 90 Days</li> <li>Individuals with Birthday Within Next 120 Days</li> <li>Individuals with Birthday Within Next N Days</li> </ul>	
<ul> <li>HRST Update Required Prior to Birthday</li> <li>HRST Update Required Prior to Birthday with Providers</li> </ul>	
<ul> <li>Persons With No Waiver Designated</li> <li>Waiver Totals</li> </ul>	



# Demonstrated capacity to anticipate and de-escalate crisis, when possible, and, when not, to respond swiftly and effectively

- CN-DD/Bx.03.1
- Provide description of agency capabilities for de-escalation and how provider anticipates and responds to a crisis for individuals. Include the following:
  - Description of support/resources for DSPs and FLSs for crisis situations
  - Curriculum-based crisis response training used by the agency
  - Procedure for debriefing with staff and individuals after engagement in physical restraint
- Response should address all 3 components of CN-DD/Bx.03.1
- Incident Management vs. Crisis Management
- De-escalation and crisis response does not equal physical interventions



- Incident Management The response to an event, intended to ensure the adequate, appropriate, and effective protection and promotion of the health, safety, and rights of the individual
- Reflects person-centered practices
- Begins with recognition, reporting and response
- Promotes prevention of incident recurrence
- Emphasizes agency-wide analysis of incidents to implement processes that promote system-wide changes for quality improvement

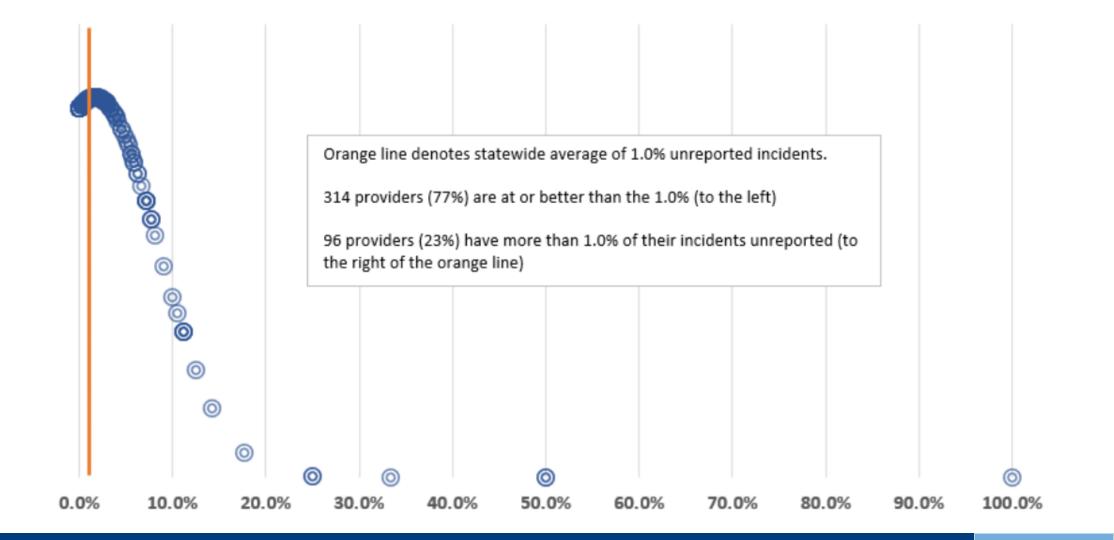
## **De-escalation and Crisis Response**



- Anticipating and responding to behavioral crises
- Individualized / Person centered approach
- Trauma / Trauma informed care
- Risk identification and Assessment
- Crisis prevention and early intervention
- De-escalation techniques
- Role of Communication and effective techniques during a crisis
- Active Listening, body language, non-verbal cues, distance, body position, tone
- Teamwork / Collaboration
- Physical interventions
- Only when necessary as a last resort to maintain safety
- Post-Crisis debriefing, recovery and support
- (including documentation, analysis and plan updates, self-care, team support...)

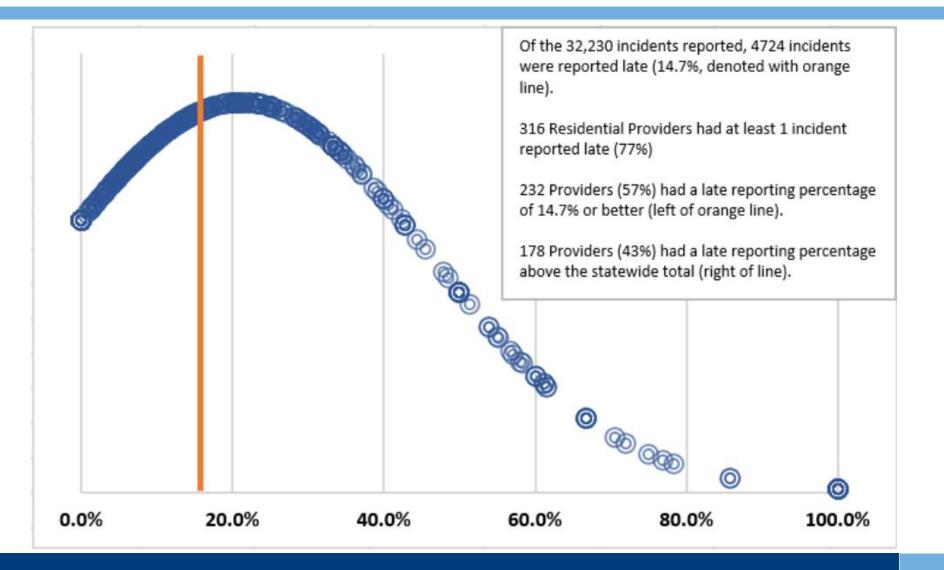
### **Unreported Incidents**





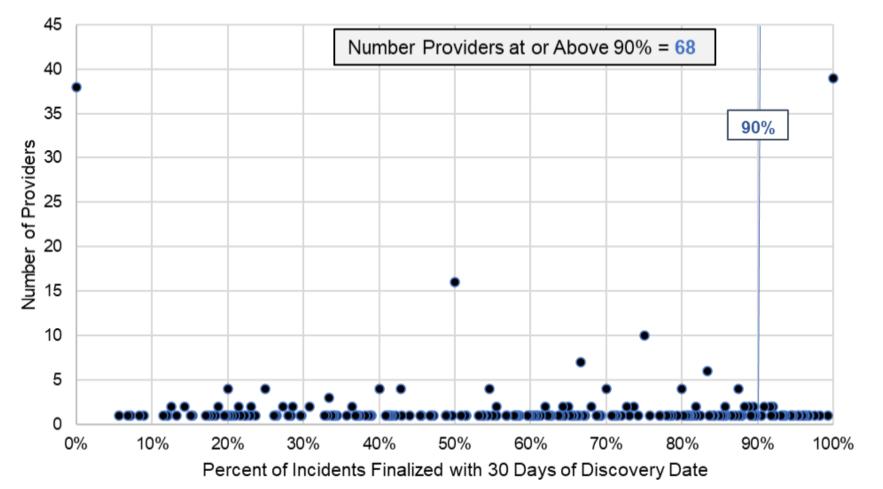
### Late Incident Reporting



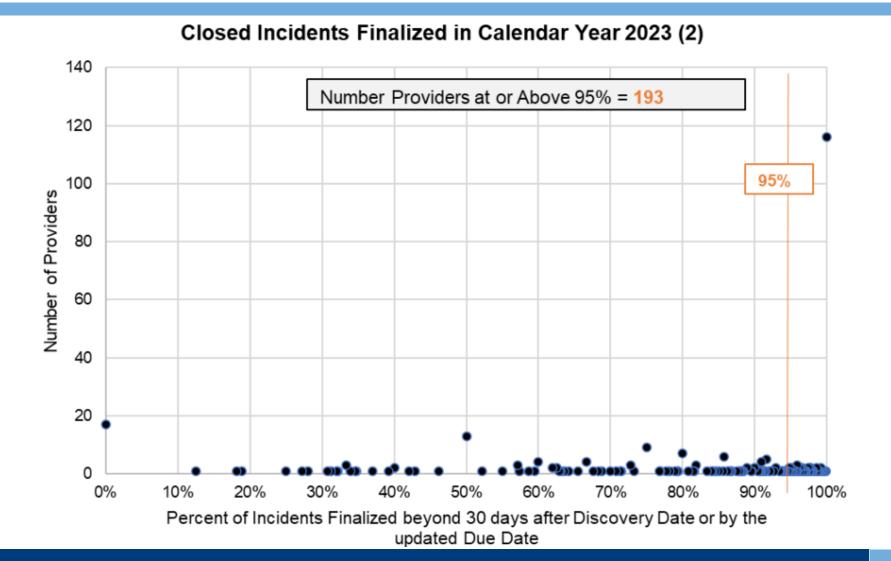




### **Closed Incidents Finalized in Calendar Year 2023**

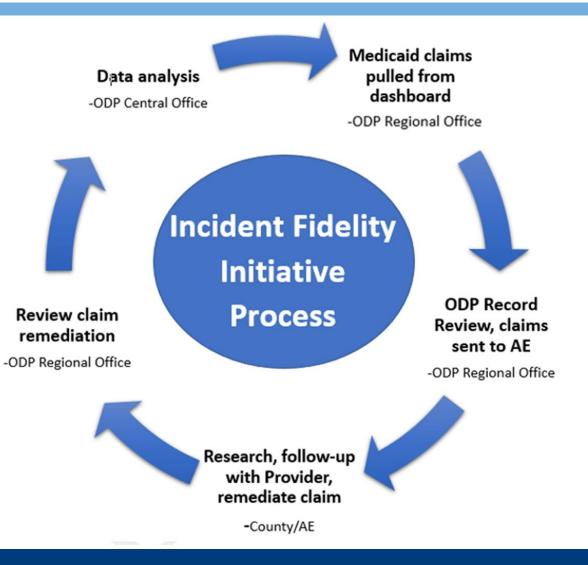






### **Technical Assistance Areas- Incidents**







### <u>Guide for Using the Incident Management Overview Dashboard to Assess PBC Measures RM-IM</u> .01.2, .01.3, and .01.4 - Final.pptx

### **Next Steps for February/March Submissions**



- ODP will update the tools and guidance to reflect review period timeframe
- Measures will <u>not</u> change between August and February/March
- Additional communications and reminders will be sent closer to February
- ODP will continue to provide virtual office hours
- FAQ on MyODP will be updated as needed
- Providers can be reviewing their 2024 statistics and gathering documentation of policies and procedures, etc.



# Questions?

### Resources



- PBC Mailbox <u>ra-pwodppbc@pa.gov</u>
- MyODP PBC resource page
- Incident Management measures resources
  - Using the IM Dashboard
    - ODP Announcement 22-115
    - ODP Announcement 24-082
- FAQs published on myODP <u>PBC FAQs</u>
- Held two Virtual Office Hours for 8/2024 applicants
- Quarterly Provider Forums
  - October 4, 2024, 11:30-1pm
  - December 16, 2024, 12:30-2pm